



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Sam E. Kinney, Jr. :
Serial No.: 09/490,867 :
Filed: 01/24/00 :
For: Method and Apparatus for :
Correcting Market Failures :
With Participant Isolation In :
Dutch Style Online Auctions :

Examiner: Unassigned
Group No.: 2768
Attorney Docket No.: 046700-5010

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INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 C.F.R. §§ 1.56 ET SEQ.

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In accordance with 37 C.F.R. § 1.97(c), the above-identified Applicants cite the following patents and publications, which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56. Applicant hereby states that each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to this filing. In compliance with 37 C.F.R. § 1.98(a), copies of the patents and publications set forth below and listed on the attached Form PTO-1449 (1 sheet)

* * * * *

CERTIFICATE OF MAILING (37 C.F.R. § 1.8)

I hereby certify that this paper, and the papers and/or fees referred to herein as transmitted, submitted or enclosed, are being deposited on the date shown below with the U.S. Postal Service with sufficient postage as first-class mail in an envelope addressed to the Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Name Daniel H. Golub

Signature

Date of Deposit September 21, 2001

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which have not yet been cited in this application, have been provided.

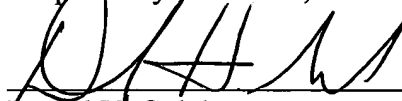
6,161,099	Harrington et al.	December, 2000
6,151,589	Aggarwal et al.	November, 2000
6,078,906	Huberman	June, 2000
5,835,896	Fisher et al.	November, 1998

Applicants respectfully request that these items be considered by the Examiner, and that the Examiner acknowledge consideration of these references by initialing and returning copies of the enclosed Form PTO-1449 with the next official action.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention or otherwise material to the patentability of this invention as defined in 37 C.F.R. § 1.56.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(b) exists.

Respectfully submitted,



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Dated: September 21, 2001